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Salvage surgery versus primary surgery for buccal mucosal squamous cell carcinoma and the need for neck dissection

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Abstract

Background: Buccal mucosal squamous cell carcinoma (BSCC) is the most common oral malignancy. BSCC can be treated with Radiotherapy (RT) or surgery or multimodality treatment. This article deals with RT failure BSCC treated by surgery and its subsequent management.

Material and Methods: This is a retrospective analysis done in a tertiary care cancer center. The study included 162 patients, of which 35 were treated for RT failure and 127 underwent a primary surgery. Histopathological factors like tumor stage, grade of differentiation, tumor front, skin involvement, bone involvement, depth of invasion, perineural invasion, lymphovascular emboli, primary resection margin in frozen section, nodal stage, extracapsular extension and lymph node ratio were analyzed and compared for LRR and DFS. Clinical neck nodal status was compared with pathological node status.

Results: 2 years DFS following salvage surgery was 54.3% and primary surgery was 71.7 %. Following RT, tumor tends to be poorly differentiated (p=0.036) and with more incidence of perineural invasion (p=0.043). Nodal involvement was less in salvage group (11.4%) but when present, more than 1 nodal station got involved. The accuracy of finding a significant node by palpation in salvage patients was 14.28% compared to 54.3% in a primary setting.

Conclusion: BSCC following RT had a poor prognosis. Hence primary surgery must be considered over RT for BSCC. Cervical node palpation was not accurate in salvage patients. Following imaging, if neck dissection is indicated, modified radical neck dissection is to be performed.

Keywords: Buccal mucosa, Oral cancer, Squamous cell carcinoma, Neck node, Disease free survival, Loco regional recurrence.

Introduction

Oral cancer is the most common malignancy affecting developing countries like India wherein buccal mucosal squamous cell carcinoma (BSCC) is the most common cancer subsite. The primary reason being pan chewing, which is a combination of betel leaf, lime, areca nut and tobacco. Pan chewing promotes oral submucosal fibrosis, which predisposes to BSCC.^{1,2}

BSCC is an aggressive cancer, treatment for which is mainly surgery followed by radiotherapy (RT) when indicated. In India RT mainly plays an important role in the treatment of early stages of BSCC especially when bone and skin is not involved. The reason for patients to choose RT over surgery is because of anticipated fear of surgical procedure especially when told about wide excision, bone resection, neck dissection and need for reconstruction. Also, patients get deceived by the thought of reduced morbidity in RT compared to surgery following treatment. A study by Nair et al. in BSCC treated with RT showed a 3 years disease-free survival (DFS) of 42%.³ Thus most of the patients treated with RT will require a salvage surgery. Salvage surgery following RT is a complex procedure because clinical judgment regarding extent of resection and disease infiltration is highly unpredictable. This leads to a wider surgical excision than normal resection.

Locoregional recurrence remains the common cause of treatment failure in oral cavity cancers,⁴, especially in BSCC. This can be prevented only by an efficient management during primary surgery and a more aggressive approach in salvage surgery.⁵

Study of salvage surgeries is not widely available, especially for BSCC. There is no consensus regarding the need for neck dissection or extent of neck dissection that is required in salvage setting. We have retrospectively analyzed the data of primary surgeries and salvage surgeries that were performed in a curative intend to explore the optimal management of neck in BSCC salvage surgery.

Materials and Method

This retrospective study was carried out in Regional Cancer Centre, Trivandrum, which is a tertiary care cancer center. All patients with BSCC who were operated with a curative intent between January 2014 and December 2015 were considered. Institutional review board approval was taken. Patients were grouped into two. Group I included patients who underwent salvage surgery for biopsy proven BSCC following a failed RT. Group II included patients who underwent primary surgery for biopsy proven BSCC with or without adjuvant RT. Patients who expired in the immediate post operative period and those who lost to followup were excluded from the study. All case sheets were analyzed for clinical neck status, pathological factors and locoregional recurrence (LRR).

175 patients underwent surgery for BSCC among whom 162 met the inclusion criteria. Group I included 35 patients (21.6%) and group II included 127 patients (78.4%).

Patients underwent wide excision of the lesion with or without resection of adjacent bone, aiming for a gross resection margin of 1cm. Frozen section study was done for resection margin status, margin less than 1mm, 2 to 5mm and more than 5mm were grouped as involved, close and clear respectively. Re-excision was done when the margin was less than 5mm. Neck dissection was done as per surgeons clinical judgment which included supraomohyoid neck dissection or supraomohyoid neck extended dissection or modified radical neck dissection. Reconstruction was done either by primary closure, splitthickness skin grafting, buccal fat pad cover, nasolabial flap, submental flap or pectoralis major myocutaneous flap reconstruction.

Adjuvant RT was planned based on advanced clinical stage or advanced pathological stage or based on risk factors, keeping in mind the performance status of the patient. Staging was done according to the American Joint Committee on Cancer (AJCC) 7th staging system for cancer of the oral cavity.

Histopathological examination was done and following tumor-related factors were considered: pathological tumor stage, grade of differentiation, tumor front, skin involvement, bone involvement, depth of invasion, perineural invasion. lymphovascular emboli, primary resection margin in frozen section. Lymph nodes were analyzed extracapsular extension and for nodal stage, lymph node ratio (defined as the ratio between the number of pathological cervical lymph nodes and the total number of resected cervical lymph nodes).⁶ The site of recurrence was noted along with the duration of recurrence from the date of surgery.⁷ Local recurrence was defined as the lesion at the site or adjacent to the primary tumor, completely treated. Regional which was recurrence is defined as palpable nodal mass in the ipsilateral or contralateral side of neck after completion of treatment.⁸

Statistical Analysis

The categorical variables were summarized using frequency and proportion, and continuous variables using mean & amp; standard deviation. The associations between categorical variables were assessed using Chi-square/ Fisher's exact test. Diagnostic accuracy was assessed using sensitivity, specificity, positive predictive value and negative predictive value. The survival probability was estimated using Kaplan-Meier estimate and comparison were done using Log Rank test. A p-value < 0.05 was considered to be significant.

Results

Mean age of patients in group I was53 +/- 10.1 (range 30 to 70 years), which included 29 (82.9%) men and 6 (17.1%) women. Mean age of patients in group II was 54.9 +/- 10 (range 23 to 76 years) which includes 102(80.3%) men and 25(19.7%). Male to female ratio was around 5:1 in both groups. With a median followup of 41months, recurrence was noted in 16(45.7%) in group I in which 5(31.3%) recurred in primary site,

9(56.3%) in the neck and second primary and distant metastasis were noted in one patient each. In group II 41(32.3%) had recurrence, among which 11(26.8%) recurred in primary site, 16 (39%) in neck site, 7(17.1%) had second primary where one was in oropharynx and rest in oral cavity and 7(17.1%) developed distant metastasis (lungs- 5, bone -2). Two years DFS(Fig. 1/Table 1) in group 1 was 54.3% and group II was 71.7%, though this was not statistically significant (p=0.081).

Twenty-seven patients (77.1%) in group I and 107 patients (84.3%) in group II underwent neck dissection. Post surgery only one (2.9%) in group I needed RT whereas 100(78.7%) in group II received RT.

On comparison of various factors (Table 2), it was found that disease in group I was poorly differentiated (20%) compared to group II which were mostly well differentiated (31.5%) and this difference was statistically significant (p=0.036). Perineural invasion was more common in group I compared to group II (54.3% Vs35.4%) with a significant p-value of 0.043. Clinically palpable node was seen frequently in group II than in group I (54.3% Vs 14.3%) and this difference was statistically significant (p<0.0001).

Pathological node was noted in 4(11.4%) in group I compared to 47(37%) in group II with a significant p-value of 0.009. Among those with node involvement, extracapsular spear (ECS) was noted in 2(5.7%) in group I compared to 31 (24.4%) in group II with a significant p-value of 0.016.

Accuracy of detecting a node (Table 3) which is pathologically positive (N+) by palpation was 14.28%, with a sensitivity of zero and specificity of 83.37% in Group I. In group II accuracy of detecting N+ node by clinical palpation was 54.3%, with a sensitivity of 80.85% and specificity of 61.25%. Among the 4 patients who got nodal recurrence in group I, two had level V nodal involvement and all had more than one nodal station involvement.

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Fig 1 DFS Salvage surgery versus Primary surgery

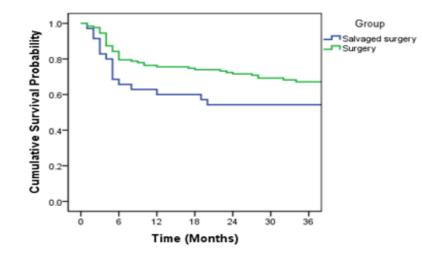


Table 1: DFS Salvage versus primary surgery

Time	Salvage Surgery		Primary surgery		P-value
(Months)	Survival	SE (%)	Survival	SE (%)	
	Probability (%)		Probability (%)		
12	60.0	8.3	75.6	3.8	0.081
24	54.3	8.4	71.7	4.0	
36	54.3	8.4	67.2	4.2	

Table 2: Comparison of Group I Vs Group II.

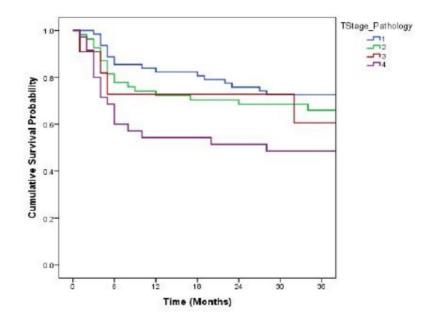
Variables		Group I (n=35)	Group II(n=127)	P Value
Sex	Male	29(82.9)	102(80.3)	0.735
	Female	6(17.1)	25(19.7)	
Age(Median = 55 years)	<median< td=""><td>21(60)</td><td>63(49.6)</td><td>0.276</td></median<>	21(60)	63(49.6)	0.276
* · · · ·	>Median	14(40)	64(50.4)	
Grade	1	6(17.1)	40(31.5)	0.036
	2	22(62.9)	78(61.4)	
	3	7(20)	9(7.1)	
Tumour Front	Cohesive	5(14.3)	22(17.3)	0.665
	Non cohesive	30(85.7)	105(82.7)	
T stage	Early(T1/T2)	22(62.9)	94(74)	0.209
0	Late(T3/T4)	13(37.1)	33(26)	
N Stage	0	31(88.6)	80(63)	0.009
0	1	0(0)	14(11)	
	2	4(11.4)	33(26)	
Skin Infiltration	Absent	7(20)	28(80)	0.120
	Present	13(10.2)	114(89.8)	
Bone Infiltration	Absent	30(85.7)	113(89)	0.595
	Present	5(14.3)	14(11)	
PNI	Absent	16(45.7)	82(64.6)	0.043
	Present	19(54.3)	45(35.4)	
LVE	Absent	35(100)	124(97.6)	1.00
	Present	0(0)	3(2.4)	
Nodal ECS	Absent	33(94.3)	96(75.6)	0.016
	Present	2(5.7)	31(24.4)	
Clinical palpable node	Absent	30(85.7)	58(45.7)	0.0001
· · · · · · · · · · · · · · · · · · ·	Present	5(14.3)	69(54.3)	
Frozen surgical margin	<0.1cm	1(2.9)	6(4.7)	0.672
	0.1-0.5cm	15(42.9)	63(49.6)	
	>0.5cm	19(54.3)	58(45.7)	
Depth of invasion	<0.5	12(34.3)	42(33.1)	0.253
*	0.51 to 1.0cm	13(37.1)	54(42.5)	
	>1.0cm	10(28.6)	31(24.4)	
Recurrence	Absent	19(54.3)	86(67.7)	0.141
	Present	16(45.7)	41(32.3)	
Node Ratio	Upto 12	33(94.3)	106(83.5)	0.169
	>12	2(5.7)	21(16.5)	
Radiotherapy	Given	1(2.9)	100(78.7)	0.0001
	Not given	34(97.1)	27(21.3)	

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Group			Pathological node		Total	P-value
			Absent	Present		
Salvage	Clinical Neck	Absent	26(86.7%)	4(13.3%)	30(100.0%)	1.00
surgery	Node	Present	5(100.0%)	0(0)	5(100.0%)	
	Total		31(88.6%)	4(11.4%)	35(100.0%)	
Primary	Clinical Neck	Absent	49(84.5%)	9(15.5%)	58(100.0%)	0.0001
Surgery	Node	Present	31(44.9%)	38(55.1%)	69(100.0%)	
	Total		80(63.0%)	47(37.0%)	127(100.0%)	
Total	Clinical Neck	Absent	75(85.2%)	13(14.8%)	88(100.0%)	0.0001
	Node	Present	36(48.6%)	38(51.4%)	74(100.0%)	
	Total		111(68.5%)	51(31.5%)	162(100.0%)	

Table 3: Clinical Vs Pathological neck node correlation:

Fig 2: DFS based on tumor stage (n=162). p-value=0.054



Discussion

Oral cancers are the sixth most common cancer globally which leads to 200,000 deaths annually and an addition of 575,000 new cases each year.⁵ BSCC is most common malignancy in oral cavity in India, which commonly affects male gender in fifth decade.⁹

BSCC is a very aggressive cancer, which is well documented in the Western literature, this is mainly because of the poor anatomical barrier which allows an easy tumor spread to buccal fat pad even in an early tumor. Study sieczka et al. showed LRR of 40% in T1/T2 BSCC even when margins were free when treated by surgery alone.¹⁰Strome et al. had a 100% recurrence in 2 years follow up for T1/T2 disease treated by surgery.¹¹ The study by Iyer et al. in T1/T2/T3 BSCC with surgery alone showed a 3-year DFS of 77% and most of the recurrence was within 2

years.¹² In our study, we found a DFS of 75.8% and 68.5% in pathological stage I(62 patients, 38%) and II (54 patients,33%) respectively (Fig. 2), which is comparable to the study by Iyer et al. This study shows the high effectiveness of surgery in BSCC in Indian population. Relative frequent performance of the procedure by surgeons and frozen section study by the pathologist, might be a reason for better DFS.

Nair et al. in 1988 found that with only RT, 3 years DFS of 73%,47%, 42.5% and 18% for T1, T2, T3 and T4 disease respectively.³ Most of our T4 cases which was taken for primary RT had masticatory space involvement.

With respect to tumor histopathology, it was noted that following RT the disease spectrum changes towards poor differentiation and a higher chance for perineural invasion. This further deteriorates the prognosis and adding to it, these patients will

solely depend on surgery with no adjuvant treatment possible. Thus the patient undergoing a primary multimodal treatment (71.7) had a better DFS compared to those who underwent salvage surgery (54.3) and this was better compared to patients who received RT alone (42%)³. Thus surgery or combined surgery with postoperative RT is the recommended treatment for BSCC.¹³ Randomised control trial by Mishra et al. stressed the importance of RT of advanced BSCC.¹⁴ Even though our figures were good, DFS comparing salvage surgery patients and primary surgery patients did not reach a statistical significance because of less number of patients in group I.

Palpation of neck has an accuracy of 59% in NO neck and 79% in N+ neck.¹⁵ In our center, we routinely consider palpatory method. In the experienced hand, depth of node palpation is around 1cm.¹⁶ Neck examination following RT is highly misleading, due to post RT changes in the neck, palpation of nodes will be very difficult and atherosclerotic vessels might give a false impression of node.¹⁷ In our study, the accuracy was 54.3% for palpated node to have N+ disease with a sensitivity of 80.85% and specificity of 61.25%. But accuracy fell down to 14.28% in patients who had prior RT. Thus palpation is highly inaccurate and should not be relied upon, especially in post-RT cases, thus indicating an appropriate imaging modality.

Neck dissection in salvage BSCC still remains a debate. Neck dissection is considered electively when the risk of occult metastasis exceeds 15-20%.¹⁵ Oral cavity malignancy frequently metastasizes to level I-III nodal station. Level IV and V involvement are infrequent(9% and 2% respectively).¹⁸ Further, superselective neck dissecttion (SSND) might be of good value in treatment of head and neck malignancy following radiation¹⁹ but the role of such dissection in salvage surgery still remains a question. In our study, it was noted that only 11.4% patient in the salvage surgery group had N+ disease compared to 37%(N1/N2) in the primary surgery group and the chance of extracapsular spread also remained lower(5.7% Vs 24.4%). Thus still questioning the need for neck dissection.

SSND will not give a better outcome in salvage surgery in BSCC, because 100% of our cases which were node positive(N+) had more than 1 node involvement in a minimal of two levels, 50% of the cases which were N+ had level V node involvement. Thus a SSND would hamper LRR and DFS for an aggressive tumor-like BSCC. Hence MRND would be the procedure of choice whenever neck dissection is indicated. Thus a routine imaging should be considered in a post-RT patient before salvage surgery and if any node is positive, an ipsilateral MRND is to be considered This study tells about the change in the spectrum of disease following RT, how to manage nodal status, the pattern of recurrence and DFS. The deficit in this study was it was a retrospective study and the number of patients in the salvage surgery group was less compared to group II. So further large prospective study might be considered to overcome the deficits.

Conclusion

Primary surgery in early disease and multimodal treatment in advanced disease will be the choice of treatment for BSCC. Surgery following RT is complicated because the disease tends to be more aggressive, normal anatomy in the surgical site will be altered and non availability for further adjuvant therapy, thus ultimately leading to a poor prognosis. Imaging is preferred to exclude nodal involvement after RT and if a suspicious node is encountered ipsilateral MRND is to be considered. Neck dissection can be avoided otherwise.

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